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September 23, 2003

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TO: Ms. Rena Dye
United States Patent and Trademark Office

FAX NUMBER: 703-872-9523

FROM: TALISHA L. COOPER
Secretary for GUY D. YALE, ESQ.

MESSAGE: Please see attached pages.

NUMBER OF PAGES TRANSMITTED (including this cover page) 18

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CONFIRMATION COPY TO FOLLOW ☐ YES ☒ NO

Re: United States Patent Application No. 09/465,336
Title: Method for Charting Financial Market Activities
Inventor(s): Vincent Ching Ping Li et al
Filing Date: December 17, 1999
Our Ref: HKPC/196/US

OF COUNSEL
James E. Alix

\\PATLAW5\C-PATLAW5\1wpdocs\GDY\Talisha's Documents\Fax Cover Sheets\PTO.doc

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Patent No: 09/465,336 Docket: HKPC/194/US Date: 6-16-2003
 Title/Mark: Method for Charting financial
 Applicant: Success Ching Ping Li et al
 TO: The Honorable Commissioner of Patents and Trademarks

Please acknowledge receipt of the items checked below by stamping hereon the
 filing date (and application number, if applicable).

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| <input type="checkbox"/> Declaration and Power of Attorney <u>Unsigned</u> | <input type="checkbox"/> Missing Parts Fee: \$ <u>6</u> |
| <input type="checkbox"/> <u> </u> pages of specification include <u> </u> independent and <u> </u> dependent claims | <input type="checkbox"/> Informal |
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FORM**

| | |
|------------------------|--|
| Application Number | 09/465,336 |
| Filing Date | December 17, 1999 |
| First Named Inventor | Vincent Ching Ping Li |
| Title | Method For Charting Financial Market Activities |
| Group Art Unit | 3627 |
| Examiner Name | Rena DYE |
| Attorney Docket Number | HKPC/196/US |

ENCLOSURES

TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

- ☒ Response to Office Action ☐ Preliminary Amendment
☐ Information Disclosure Statement ☒ Postcard Reflecting Enclosures
☐ Other:

☒ It is hereby petitioned that any required extension of time be granted for filing the Amendment.
An extension of Two (2) month(s) having a fee of \$ 205.00 appears required.

☒ A check in the amount of \$ 205.00 is attached. Please credit any overpayment to
Deposit Account 16-2563 of Alix, Yale & Ristas, LLP.

- The Commissioner is hereby requested and authorized to charge Deposit Account 16-2563 of Alix, Yale & Ristas, LLP for any fee, not enclosed herewith, due for any reason in connection with the amendment or this or any other document accompanying the amendment, including (a) any filing fees under 37 CFR 1.16 for the presentation of extra claims and (b) any patent application processing fees under 37 CFR 1.17. A *Duplicate Copy Of This Sheet Is Attached.*

SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm or
Individual name

Guy D. Yale

Signature

Date

June 16, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450".

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Reg. No.

29,125

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Date:

June 16, 2003